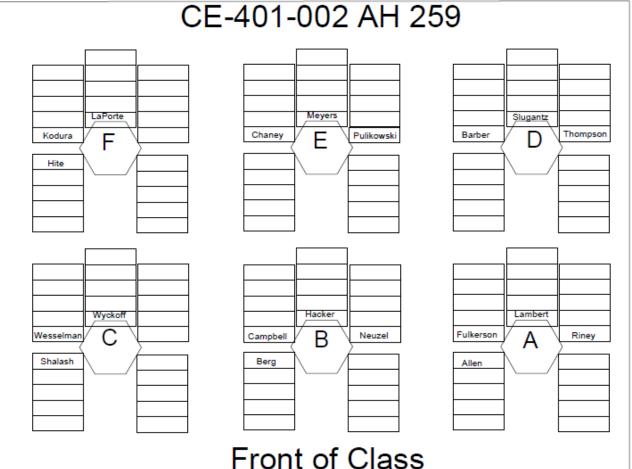
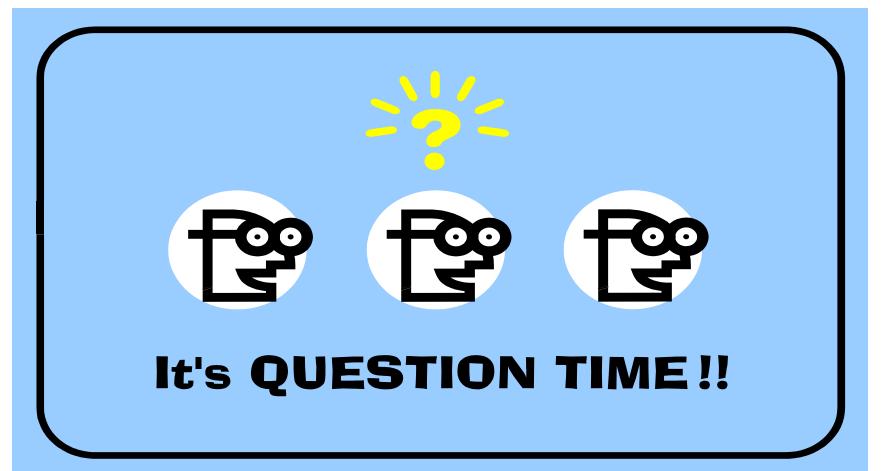


### E 401 Civil Engineering Seminar General Questions:

If your Group wants another seating order at your table, be sure to notify me of the preferred order by marking up the hard copy of this seating chart before class today.









#### •WEEK 2: DISCUSSION GROUP ACTIVITY

#### •Group Formation went very well,

- •All groups set before the deadline, and
- •The final group of students placed in the only unfilled group

 Initial posting work on the questions proceeded in regular order, response rate = 95.0% 100.0%

•Overall, the quality of the initial posts was good.

•Consensus building was very nicely done, for the most part, but some confusion about consensus building procedures and leader assignments occurred.

## Good Job!



#### •SECTION 2 DISCUSSION GROUPS

	SE	ECTION 2 [	DISCUSSIC	N GROUPS	
	1	2	3	4	5
Α	Allen	Fulkerson	Lambert	Riney	
В	Berg	Campbell	Hacker	Neuzel	
С	Shalash	Wesselman	Wyckoff		
D	Barber	Slugantz	Thompson		
E	Chaney	Meyers	Pulikowski		
F	Hite	Kodura	LaPorte		
F	Hite	Kodura	LaPorte		



#### •WEEK 2: PARTICIPATION RATES

	WEEK 01 P	ARTICIPAT	ION RATES	WEEK 02 PARTICIPATION RATES				
	Week-Sect	1-1	Week-Sect	1-2	Week-Sect	2-1	Week-Sect	2-2
	Initial Posts	Posts	Initial Posts	Posts	Initial Posts	Posts	<b>Initial Posts</b>	Posts
	By Wed noon	Any Time	By Wed noon	Any Time	By Wed noon	Any Time	By Wed noon	Any Time
Spring 24	58.8%	100.0%	85.0%	100.0%	74.5%	98.0%	95.0%	100.0%
Fall 23	42.9%	85.7%	25.0%	<b>96.7%</b>	87.5%	100.0%	93.3%	100.0%
Spring 23	47.2%	100.0%	65.0%	100.0%	98.6%	100.0%	93.8%	97.5%
Fall 22	52.2%	<b>91.3%</b>	65.0%	100.0%	87.0%	100.0%	100.0%	100.0%
Spring 22	50.8%	<b>90.5%</b>	65.3%	<b>93.1%</b>	85.7%	100.0%	95.8%	100.0%
Fall 21	42.2%	95.6%	47.8%	89.9%	93.3%	100.0%	100.0%	100.0%
Spring 21	27.8%	77.8%	53.0%	95.5%	94.4%	100.0%	100.0%	100.0%
Fall 20	64.7%	100.0%	45.5%	100.0%	90.9%	100.0%	98.3%	100.0%
Avg. Prior 3 Years	43.8%	<b>90.1%</b>	53.5%	95.8%	91.1%	100.0%	97.2%	99.6%
	This	Semester	Prior 3	Years	This	Semester	Prior 3	Years
<b>Combined Sections</b>	77.5%	100.0%	52.1%	94.5%	89.1%	99.4%	95.1%	99.8%

## Good Job!



#### CE 401 Civil Engineering Seminar WEEK 2: DISCUSSION ACTIVITY

Timely Init	ial Posts:	95.0%	Curre	ent Participation:	1	Last Update	19-Jan-24	7:18 AM
Section 2		Week	2	<b>Discussion Ques</b>	tion Activity Rep	oort		
Question	Group	Leader		1	2	3	4	5
1	В	Hacker		Berg	Campbell	Hacker	Neuzel	
1	D	Thompson		Barber	Slugantz	Thompson		
1	F	LaPorte		Hite	Kodura	LaPorte		
2	Α	Allen		Allen	Fulkerson	Lambert	Riney	
2	С	Shalash		Shalash	Wesselman	Wyckoff		
2	Е	Meyers		Chaney	Meyers	Pulikowski		
3	В	Neuzel		Berg	Campbell	Hacker	Neuzel	
3	D	Slugantz		Barber	Slugantz	Thompson		
3	Е	Pulikowski		Chaney	Meyers	Pulikowski		
4	Α	Riney		Allen	Fulkerson	Lambert	Riney	
4	С	Wyckoff		Shalash	Wesselman	Wyckoff		
4	F	Kodura		Hite	Kodura	LaPorte		
5	В	Campbell		Berg	Campbell	Hacker	Neuzel	
5	С	Wesselman		Shalash	Wesselman	Wyckoff		
5	E	Chaney		Chaney	Meyers	Pulikowski		
6	Α	Lambert		Allen	Fulkerson	Lambert	Riney	
6	D	Barber		Barber	Slugantz	Thompson		
6	F	Hite		Hite	Kodura	LaPorte		
Font Legend								
non-bold No post made, time for posting remains non-bold Late Post before consensus, 20% loss					5			
Bold / Bol	d	Post made w	ithin 1	Time	Bold	Post is made after consensus, 60% loss		
Non-Bold L	eader-No	Consensus Po	sted, -	5 Points	Ital. non-bold	No Post Made, 100	% loss	



#### CE 401 Civil Engineering Seminar ESSAY TEAMS

Essay team formation has proceeded slowly, and you can see the Section 2 teams to the right.

Will leave process open for one more week to allow more of you to form your own teams.

ESSAY TEAMS - SECTION 2 Last Update 1/19/2024 7:18	2-Person Teams	5
Unassigned Students Student Formed Teams Assigned Teams	AMBER BLACK RED	
Wesselman Wyckoff	201 201	
Chaney	201	
Meyers	202	
Fulkerson	203	
Riney	203	
Hite	204	
Lambert	204	
Berg	205	
Campbell	205	
Allen		
Barber		
Hacker Kodura		
LaPorte		
Neuzel		
Pulikowski		
Shalash		
Slugantz		
Thompson		



**QUIZ 1 RESULTS** 

#### Quiz 1

Range: 12-20; Average 18.3

W/ Text Historic 10-20; Average 16.3

0.486 (18 of 37) Perfect Scores on Quiz 1

Note: Historic Range is for the Post TEXT period

Quiz scores for quiz 1 are higher than the historic performance results.



Discussion Question #1

This week's video focuses on four cases that demonstrate how whistleblowers are usually treated. Over the weeks ahead, we will be examining whistleblowing from a variety of perspectives.

**1.Define "Whistle Blowing"** 

2.By expanding your definition of "Whistle Blowing" in #1, define "Internal" and "External" whistleblowing, and for each, respond to each of the following:

- 1. Who does the whistleblower tell?
- 2. Who is the whistleblower's target?
- 3. What is the whistleblower's goal?

**3.For each of the cases featured in this week's video:** 

- 1. Identify the whistleblower(s)
- 2. Identify the whistleblowers' target
- 3. Describe the relationship between each whistleblower and target. For example, the relationship may have been family, friends, employer/employee, consultant/client, etc.

B	Hacker
D	Thompson
F	LaPorte



Whistle Blowing Decisions

What Is Whistle Blowing?

**Bowie (1982):** the act of an employee informing the public on the immoral or illegal behavior of an employee or supervisor.

**Bok (1997)** an act in which one makes revelations meant to call attention to negligence, abuses, or dangers that threaten the public interest.

Whistle Blowing Decisions are a special kind of Ethical Decision

De George, and others, provide criteria for these decisions In a couple of weeks, we will look at a general model for Ethical Decision Making (Josephson)



Whistle Blowing Decisions

The real question that we must address is: WHEN SHOULD A PERSON BLOW THE WHISTLE ON ANOTHER PERSON?

1. Is it when you disagree with what another person believes?



Whistle Blowing Decisions

The real question that we must address is: WHEN SHOULD A PERSON BLOW THE WHISTLE ON ANOTHER PERSON?

- 1. Is it when you disagree with what another person believes? Probably Not
- 2. Is it when you disagree with what another person does?



Whistle Blowing Decisions

The real question that we must address is: WHEN SHOULD A PERSON BLOW THE WHISTLE ON ANOTHER PERSON?

- 1. Is it when you disagree with what another person believes? Probably Not
- 2. Is it when you disagree with what another person does? Perhaps



Whistle Blowing Decisions

#### If the focus is on how other people act: IS ANY DISAGREEABLE ACTION SUFFICIENT TO JUSTIFY WHISTLE BLOWING?

1. What if the disagreeable action does not impact anyone other than the actor?



Whistle Blowing Decisions

#### If the focus is on how other people act: IS ANY DISAGREEABLE ACTION SUFFICIENT TO JUSTIFY WHISTLE BLOWING?

- 1. What if the disagreeable action does not impact anyone other than the actor? Probably Not
- 2. What if the disagreeable action only affects the actor and you?



Whistle Blowing Decisions

If the focus is on how other people act: IS ANY DISAGREEABLE ACTION SUFFICIENT TO JUSTIFY WHISTLE BLOWING?

- 1. What if the disagreeable action does not impact anyone other than the actor? Probably Not
- 2. What if the disagreeable action only affects the actor and you? Probably Not
- 3. What if the disagreeable action affects others like a client, users of a product, or the public?



Whistle Blowing Decisions

If the focus is on how other people act: IS ANY DISAGREEABLE ACTION SUFFICIENT TO JUSTIFY WHISTLE BLOWING?

- 1. What if the disagreeable action does not impact anyone other than the actor? Probably Not
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- 3. What if the disagreeable action affects others like a client, users of a product, or the public? Perhaps



Whistle Blowing Decisions

If the focus is an action that affects others: WHAT IF THE ACTION THAT AFFECTS OTHERS, SUCH AS CLIENTS, USERS, THE PUBLIC, AND THE AFFECT IS:

**1.** A violation of the client's preference.



Whistle Blowing Decisions

If the focus is an action affects others: WHAT IF THE ACTION THAT AFFECTS OTHERS, SUCH AS CLIENTS, USERS, THE PUBLIC, AND THE AFFECT IS:

- 1. A violation of the client's preference. Probably Not
- 2. 1 above and will increase the cost of production for the client.



Whistle Blowing Decisions

If the focus is an action affects others: WHAT IF THE ACTION THAT AFFECTS OTHERS, SUCH AS CLIENTS, USERS, THE PUBLIC, AND THE AFFECT IS:

- 1. A violation of the client's preference. Probably Not
- 2. 1 above and will increase the cost of production for the client. Probably Not
- 3. 1 and 2 above and will pose serious safety risk to workers and/or the public.



Whistle Blowing Decisions

If the focus is an action affects others: WHAT IF THE ACTION THAT AFFECTS OTHERS, SUCH AS CLIENTS, USERS, THE PUBLIC, AND THE AFFECT IS:

- 1. A violation of the client's preference. Probably Not
- 2. 1 above and will increase the cost of production for the client. Probably Not
- 3. 1 and 2 above and will pose serious safety risk to workers and/or the public. Perhaps



#### **Whistle Blowing Decisions**

The Focus of Whistle Blowing Decisions only begins when an action will cause serious risk to others, like workers and/or the public,

#### AND

The Decision should be made with utmost care to determine:

- Whether there is moral authority to act, and
- Whether there is a moral duty to act



#### **Internal and External Whistleblowing**

## Internal whistleblowing: An employee's first step to report something unethical (or illegal) happening inside the company.

If the wrongdoing has occurred at an organizational level **<u>below</u>** the whistle blower, why not simply fix the issue without the internal reporting?

If the wrongdoing occurs at an organizational level <u>equal or above</u> the whistle blower, the whistle blower reports wrongdoing to a higher level.

The target of the internal whistleblowing action is usually a company employee

External whistleblowing: A person taking steps to report company misconduct to an outsider. This may address misconduct by an entity or an individual, and generally it is an employer, client, supplier or competitor.

Whistleblowers might report to a private lawyer, the press, directly to a government agency or prosecutor, or via a public hotline.

The target of the external whistleblowing action is usually the company (organization).

De George requires internal whistleblowing before external can be proper Our conversations about whistle blowing only address external actions unless specifically referencing an internal action.



#### Discussion Question #1

# When a person faces a potential whistle blowing action, that person must make a decision.



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When a person faces a potential whistle blowing action, that person must make a decision. To Act or Not To Act, That is the question:

1) Are decisions to not act (to remain quiet) appropriate?



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When a person faces a potential whistle blowing action, that person must make a decision. To Act or Not To Act, That is the question:

- 1) Are decisions to not act (to remain quiet) appropriate? Some are, but not ALL, because there are some situations that require action.
- 2) Are decisions to act (to blow the whistle) appropriate?



#### Discussion Question #1

When a person faces a potential whistle blowing action, that person must make a decision. To Act or Not To Act, That is the question:

- 1) Are decisions to not act (to remain quiet) appropriate? Some are, but not ALL, because there are some situations that require action.
- 2) Are decisions to act (to blow the whistle) appropriate? Some are, but not ALL, because there are some situations that blowing the whistle would be wrong.



Discussion Question #1

This week's video focuses on four cases that demonstrate how whistleblowers are usually treated. Over the weeks ahead, we will be examining whistleblowing from a variety of perspectives.

- 4. Define the ethical duty of Loyalty.
- 5. For each of the Whistleblower/target relationships identified in #3, does the whistleblower owe the target an ethical duty of loyalty? (The question is not whether there is a reason that an ethical duty of loyalty should be ignored, but whether the duty exists)
- 6. Define the ethical duty of Confidentiality.
- 7. For each of the Whistleblower/target relationships identified in #3, does the whistleblower owe the target an ethical duty of confidentiality? (The question is not whether there is a reason that an ethical duty of confidentiality should be ignored, but whether the duty exists)

В	Hacker
D	Thompson
F	LaPorte



**Discussion Question #1** 

Define the Duty of Loyalty in a business context.



**Discussion Question #1** 

Define the Duty of Loyalty in a business context.

Duty of Loyalty: Employers and Clients expect an employee's or consultant's loyalty, in that an employee or consultant should always act in the best interests of their employer or client. (DOES NOT MEAN STAYING IN THE EMPLOYMENT FOREVER!)

Define the Duty of Confidentiality in a business context.



#### **Discussion Question #1**

#### Define the Duty of Loyalty in a business context.

Duty of Loyalty: Employers and Clients expect an employee's or consultant's loyalty, in that an employee or consultant should always act in the best interests of their employer or client.

Define the Duty of Confidentiality in a business context. Duty of Confidentiality: Employers and Clients expect that employees and consultants will keep company information and trade secrets confidential.

Who must control the right to maintain confidentiality?



#### **Discussion Question #1**

#### Define the Duty of Loyalty in a business context.

Duty of Loyalty: Employers and Clients expect an employee's or consultant's loyalty, in that an employee or consultant should always act in the best interests of their employer or client.

#### Define the Duty of Confidentiality in a business context.

Duty of Confidentiality: Employers and Clients expect that employees and consultants will keep company information and trade secrets confidential.

Who must control the right to maintain confidentiality? The owner of the confidential information.

Are loyalty and confidentiality ethical duties?



#### **Discussion Question #1**

#### Define the Duty of Loyalty in a business context.

Duty of Loyalty: Employers and Clients expect an employee's or consultant's loyalty, in that an employee or consultant should always act in the best interests of their employer or client.

#### Define the Duty of Confidentiality in a business context.

Duty of Confidentiality: Employers and Clients expect that employees and consultants will keep company information and trade secrets confidential.

#### Who must control the right to maintain confidentiality?

The owner of the confidential information.

Are loyalty and confidentiality ethical duties? Yes, they fall under the core ethical value of Trustworthiness.

There will be more on these ethical duties in the weeks ahead.?



**Discussion Question #1** 

Loyalty is a difficult concept to grasp and is neither absolute nor without limitations.

Confidentiality is more definitive in its meaning and application. More information about Loyalty:

- <u>https://teambuilding.com/blog/employee-loyalty</u>
- <u>https://www.forbes.com/sites/davidsturt/2019/01/10/the-truth-about-employee-loyalty-and-5-things-every-leader-should-know/?sh=3b532f88482c</u>
- <u>https://openstax.org/books/business-ethics/pages/7-1-</u> <u>loyalty-to-the-company</u>
- <u>https://www.abacademies.org/articles/the-duty-of-loyalty-in-the-employment-relationship-legal-analysis-and-recommendations-for-employers-and-workers-1544-0044-21-3-136.pdf</u>



**Discussion Question #1** 

Who is your Subordinate?



**Discussion Question #1** 

Who is your Subordinate? **Someone who answers to you.** Why would someone find a need to blow the whistle on a subordinate?



Discussion Question #1

Who is your Subordinate? Someone who answers to you.

Why would someone find a need to blow the whistle on a subordinate? They wouldn't and shouldn't because:

- The superior is responsible for the actions of a subordinate, and
- The superior has the authority and responsibility to fix it, if it is wrongful.

If a person possesses information of activity causing public harm, but obtained the information independently of any confidentiality-based relationship, is that person a whistle blower if he/she discloses the information to the public?



Discussion Question #1

Who is your Subordinate? Someone who answers to you.

Why would someone find a need to blow the whistle on a subordinate? They wouldn't and shouldn't because:

- The superior is responsible for the actions of a subordinate, and
- The superior has the authority and responsibility to fix it, if it is wrongful.

If a person possesses information of activity causing public harm, but obtained the information independently of any confidentiality-based relationship, is that person a whistle blower if he/she discloses the information to the public?

• Regardless of the source's source, the recipient of the information is not the whistle blower if he/she discloses it. The source may be a whistleblower.



Discussion Question #1

Who is your Subordinate? Someone who answers to you.

Why would someone find a need to blow the whistle on a subordinate? They wouldn't and shouldn't because:

- The superior is responsible for the actions of a subordinate, and
- The superior has the authority and responsibility to fix it, if it is wrongful.

If a person possesses information of activity causing public harm, but obtained the information independently of any confidentiality-based relationship, is that person a whistle blower if he/she discloses the information to the public?

- Regardless of the source's source, the recipient of the information is not the whistle blower if he/she discloses it. The source may be a whistleblower.
- Consider reporters who get confidential information from an anonymous source and disclose the confidential information to the public.
  - The anonymous source may be a whistle blower, especially if the anonymous source violated confidentiality duties by disclosing the confidential information to the reporter, thus becoming a whistleblower
  - The reporter is not a whistle blower because the reporter does not violate any duty of confidentiality in disclosing the information to the public.



#### **Discussion Question #1**

A person who is considering a whistle blowing action should carefully evaluate the entire situation to determine whether whistle blowing is proper and necessary.



#### **Whistleblowing Actions**

Continuum of Propriety of Whistleblowing (WB) Based on the Facts and Circumstances

No Moral Authority to Act [] Moral Authority To Act [] Duty to ActWB Is Not ProperWB May or May Not OccurWB is NecessaryBased On the Whistle Blower's Judgement

# Prospective Whistle blowers should evaluate the propriety of a potential whistle blowing action:

- 1. From No Moral Authority to Moral Authority but no Moral Duty, and
- 2. From a Moral Authority with no Moral Duty to a Moral Duty.
- 3. There is no need to consider a Moral Duty if Moral Authority is absent



#### **Whistleblowing Actions**





#### **Discussion Question #2**

The video, "Nice Guys Finish Last," identified several whistleblowers involved in highprofile whistleblowing cases. Overall, these whistleblowing cases identify at least three (3) "lessons":

The Target retaliates by trying to destroy the whistleblower.

The whistleblower lives in fear with no place to go

Nothing of substance happens to the target

a) Cite specific examples from at least 2 of the cases in "Nice Guys Finish Last" that support the lesson that a target tries to destroy the whistleblower with retaliations

b) Cite specific examples from at least 2 of the cases in "Nice Guys Finish Last" that support the lesson that a whistleblower lives in fear with no place to go.

c) Cite specific examples from at least 2 of the cases in "Nice Guys Finish Last" that support the lesson that nothing of substance happens to the target.

d) In 2 to 3 sentences, cite and explain at least one additional "lesson" you take away from the cases featured in this week's video "Nice Guys Finish Last?"

Α	Allen
С	Shalash
E	Meyers



#### Discussion Question #2

"... whistle blowing is not the way to go unless you are willing to give up everything and leave the country." Prior CE 401 Student

This is why De George prefers to set a higher bar before imposing a moral duty on another person to blow the whistle



# CE 401 Civil Engineering Seminar Introduction & Incident At Morales

#### **Discussion Question #3**

De George has identified five (5) criteria to guide a Whistleblower's decisionmaking. According to De George, the satisfaction of these criteria place the decision into one of three levels of MORAL AUTHORITY to blow the whistle:

- The Whistleblower has NO MORAL AUTHORITY to Blow the Whistle;
- The Whistleblower has MORAL PERMISSION to Blow the Whistle, but the Whistle Blower has NO MORAL DUTY to do so; or
- The Whistleblower has a MORAL DUTY to Blow the Whistle.
- a) What are De George's five criteria, numbered as De George presents them?

b) What default level of MORAL AUTHORITY does De George's assign to a potential whistleblower prior to analyzing the decision, and in 2 to 3 sentences, explain why De George adopts this level of MORAL AUTHORITY as the default starting position?

c) Citing the appropriate De George criteria by number, identify which of the De George criteria a potential whistleblower should satisfy to gain <u>MORAL PERMISSION</u> to blow the whistle.

В	Neuzel
D	Slugantz
Е	Pulikowski



#### Discussion Question #3

1. When a person has moral authority but no moral duty to blow the whistle in a situation that may pose serious public harm, how does a person decide whether to remain quiet or speak?



- 1. When a person has moral authority but no moral duty to blow the whistle in a situation that may pose serious public harm, how does a person decide whether to remain quiet or speak?
- 2. When might a person blow the whistle for situations that do not pose significant harm to the user of a product, the public, or other stakeholders?



# CE 401 Civil Engineering Seminar Introduction & Incident At Morales

Riney

Wyckoff

Kodura

#### Discussion Question #4

De George has identified five (5) criteria to guide a Whistleblower's decision-making. According to De George, the satisfaction of these criteria place the decision into one of three levels of MORAL AUTHORITY to blow the whistle:

- The Whistleblower has NO MORAL AUTHORITY to Blow the Whistle;
- The Whistleblower has MORAL PERMISSION to Blow the Whistle, but the Whistle Blower has NO MORAL DUTY to do so; or
- The Whistleblower has a MORAL DUTY to Blow the Whistle.
- a) What are De George's five criteria, numbered as De George presents them?

b) What level of MORAL AUTHORITY does De George consider necessary before a potential whistleblower should consider whether there is a MORAL DUTY to blow the whistle, and in 2 to 3 sentences, explain why De George requires this level of MORAL AUTHORITY as a condition precedent to considering whether a MORAL DUTY exists?

c) Citing the appropriate De George criteria by number,	Α
identify which of the De George criteria a potential	_
whistleblower should satisfy to gain a MORAL DUTY to	С
blow the whistle.	F



**Discussion Question #4** 

1. Why does De George require objective documentation sufficient to convince an impartial third party about the public harm to create a moral duty?



- 1. Why does De George require objective documentation sufficient to convince an impartial third party about the public harm to create a moral duty?
- 2. When a person satisfies the first 4 De George criteria but concludes that the public harm will not be avoided by blowing the whistle, De George concludes that whistle blowing does NOT rise to a moral duty. Is De George giving a potential whistle blower an easy out?



- 1. Why does De George require objective documentation sufficient to convince an impartial third party about the public harm to create a moral duty?
- 2. When a person satisfies the first 4 De George criteria but concludes that the public harm will not be avoided by blowing the whistle, De George concludes that whistle blowing does NOT rise to a moral duty. Is De George giving a potential whistle blower an easy out?
- 3. Consider the total harm that occurs if:
  - 1. The Whistle Blowing Action is likely to avoid the considerable public harm but the whistle blower's life is severely damaged, vs.
  - 2. The Whistle Blowing Action is likely to cause the whistle blower's life considerable damage, but the considerable public harm still occurs



- 1. Why does De George require objective documentation sufficient to convince an impartial third party about the public harm to create a moral duty?
- 2. When a person satisfies the first 4 De George criteria but concludes that the public harm will not be avoided by blowing the whistle, De George concludes that whistle blowing does NOT rise to a moral duty. Is De George giving a potential whistle blower an easy out?
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- WHICH OF THESE ALTERNATIVE CAUSES THE GREATEST TOTAL HARM?



- 1. Why does De George require objective documentation sufficient to convince an impartial third party about the public harm to create a moral duty?
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  - 1. The Whistle Blowing Action is likely to avoid the considerable public harm but the whistle blower's life is severely damaged, vs.
  - 2. The Whistle Blowing Action is likely to cause the whistle blower's life considerable damage, but the considerable public harm still occurs
- WHICH OF THESE ALTERNATIVE CAUSES THE GREATEST TOTAL HARM?
- WHICH OF THESE ALTERNATIVES WOULD BE THE BETTER OPTION?



#### **Discussion Questions #3 and #4**

In deciding whether to act or not act, a potential whistleblower should answer two questions.

- **1** Do I have moral authority to act?
- 2 Do I have a moral duty to act?

Neither De George nor James formulated these questions, but each of them provides their views about how a person should answer them.

Regardless of the system or criteria a person chooses to use to decide what to do, prospective whistle blowers must answer these questions.



Discussion Question #5 (See Page 42 of TEXT)

The text points out that Dr. Mintz asserts that company policies mandating "internal whistleblowing" shift responsibility for misdeeds "from the organization to the individual" by making internal reporting an employee duty. In contrast, De George clearly requires "internal whistleblowing" before a potential whistleblower gains moral authority to proceed with "external whistleblowing." While this may appear to be a conflict about the propriety of internal whistleblowing, it really is not. "Moral autonomy" is the capacity to impose objective moral standards on oneself. As Michael Josephson points out, the core ethical values are these objective moral standards of conduct that apply universally to each person. For this reason, Mintz's comments about shifting responsibility for wrongdoing are really about personal and corporate responsibility and accountability rather than "moral autonomy."

- 1. In 2 or 3 sentences, explain why De George's requirement that a Whistleblower exhaust internal channels before gaining MORAL PERMISSION for an external whistleblowing action is beneficial to the Whistleblower.
- 2. In 2 or 3 sentences, explain why De George's requirement that a Whistleblower exhaust internal channels before gaining MORAL PERMISSION for an external whistleblowing action is beneficial to the target.
- BCampbellCWesselmanEChaney



#### **Moral Autonomy**

1. Does Moral Autonomy allow an individual to decide what the core ethical values/standards are? Why?



- 1. Does Moral Autonomy allow an individual to decide what the core ethical values/standards are? Why?
- 2. Who can the De George "exhaustion" requirement protect?



- 1. Does Moral Autonomy allow an individual to decide what the core ethical values/standards are? Why?
- 2. Who can the De George "exhaustion" requirement protect?
  - **1.** The employee accused of improper conduct?



- 1. Does Moral Autonomy allow an individual to decide what the core ethical values/standards are? Why?
- 2. Who can the De George "exhaustion" requirement protect?
  - **1.** The employee accused of improper conduct?
  - 2. The company?



- 1. Does Moral Autonomy allow an individual to decide what the core ethical values/standards are? Why?
- 2. Who can the De George "exhaustion" requirement protect?
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  - 2. The company?
  - 3. The potential whistle blower?



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- 3. When an engineer faces a Code Section 10 reporting situation, does that mean that the first 3 De George criteria are no longer important? What about documentation, and #5



#### Discussion Question #5

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- 3. What happens to the BAD GUYS, the target?



**Discussion Question #5** 

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- 3. Is the Target's management always aware of activities within its organization that pose a public hazard? No.
- 4. If the Target's management becomes aware of activities that pose a public hazard, what can occur?
  - a) The Target can correct the problem internally without public embarrassment, or
  - b) The Target will ignore the issue, in which case the prospective whistle blower's justification for going public is stronger.



#### **Discussion Question #6**

Engineering Codes of Ethics require an engineer to report wrongdoing by another engineer. For example, Section 10 of the Kentucky code is particularly relevant in saying, "If an engineer knows or has reason to believe (Emphasis Added) that any person is in violation of Chapter 322 (Engineering Registration Act) or any regulation, he shall present that information to the Board in writing and shall cooperate with the Board in furnishing information."

In contrast, Malek observes, "The Committee on Science, Engineering, and Public Policy notes that, 'Self-regulation ensures that decisions about professional conduct will be made by experienced and qualified peers. But for self-regulation to work, researchers must be willing to alert others when they suspect (Emphasis Added) that a colleague has violated professional standards or disciplinary practices'."

In broad terms, it seems clear that when society entrusts a profession with the privilege of setting and enforcing its own standards, members of the profession have a duty to report wrongdoing committed by members of the profession. The issue hinges on the level of knowledge or certainty of the wrongdoing an engineer should have before the duty to report (blow the whistle) becomes operative.

a) Define suspicion, reasonable belief, and knowledge, and then Compare and Contrast the level of certainty you associate with each.

b) Choosing between suspicion, reasonable belief, and knowledge, which level of certainty about your alleged wrongdoing should another engineer have before reporting you to the Board of Registration?

	Α	Lambert
DO NOT READ AND ANSWER PART c) UNTIL YOU HAVE ANSWERED PART b), AND DO NOT RETURN	D	Barber
TO PART b) AFTER CONSIDERING PART c)	F	Hite



#### Discussion Question #6

A person who is considering a whistle blowing action should carefully evaluate the entire situation to determine whether whistle blowing is proper.

 Is believing something is true the same as suspecting it is true?

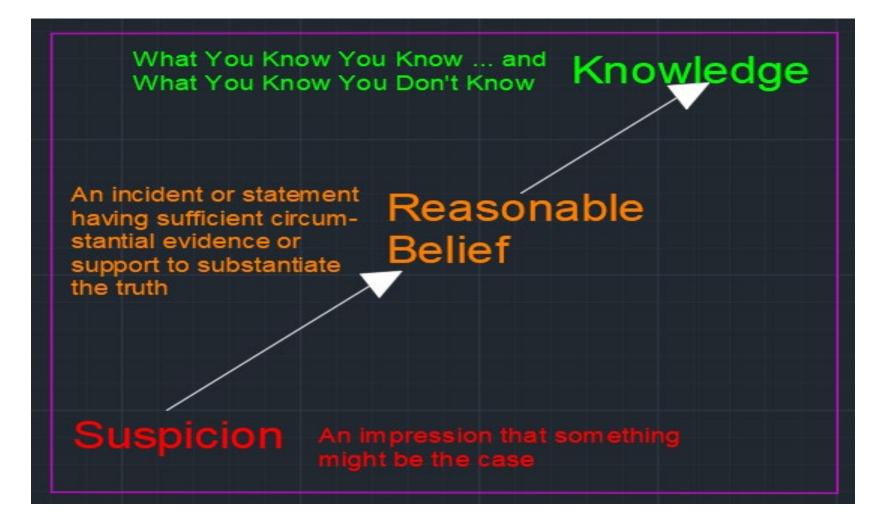


#### Discussion Question #6

A person who is considering a whistle blowing action should carefully evaluate the entire situation to determine whether whistle blowing is proper.

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- 2. Is believing something is true the same as knowing it is true?







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AFTER CONSIDERING PART c)

c) Choosing between suspicion, reasonable belief, and knowledge, which level of certainty about an engineer's wrongdoing

does the Kentucky Board of Registration require before a Kentucky engineer has an ethical duty to report that other engineer to the Board for investigation?

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D	Barber
F	Hite



Discussion Question #6

1. Who does the Code's ethical mandate to report violations of law or ethics to the Board of Registration protect?



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- 2. Assume an engineer knows a breach of duty has occurred by another engineer that could result in loss of life if not corrected but the engineer <u>DOES NOT</u> <u>REPORT IT TO THE BOARD AS REQUIRED</u>. If a failure then occurs and people die, does this silent engineer share responsibility for the wrongful deaths in addition to the violation of this code provision?



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- 3. Is this responsibility ethical, legal, or both?



#### **Discussion Question #6**

The Code of Ethics for Professional Engineers, whether promulgated by ASCE, NSPE or a State Board of Registration mandates reporting another engineer, known to have violated the law. Here is what the Code of Ethics for Engineers registered in Kentucky says:

http://www.lrc.state.ky.us/kar/201/018/142.htm

•Section 2:The Engineer shall ... protect the public H,S, & W

•Section 10: If an engineer knows or reason to believe that any person is in violation of Chapter 322 or any regulation, he shall present that information to the Board in writing and shall cooperate with the Board in furnishing information.

Doesn't this ethical provision require an engineer to become a whistle blower when that engineer has either knowledge or a reason to believe that another engineer has violated the law or the ethical requirements of an engineer?

- 'Knowledge' means "What You Know You Know and Don't Know."
- 'Reason to believe' means' an incident or statement having sufficient circumstantial evidence or support to substantiate the truth.
- 'Suspicion' means an impression that something might be the case.
- <u>https://www.giffordlectures.org/books/belief/lecture-3-belief-and-knowledge</u>



#### **Major Considerations of Whistle Blowing**

A person who is considering a whistle blowing action should carefully evaluate the entire situation to determine whether whistle blowing is proper and necessary.

It is often a life changing decision

- Sometimes a person has a moral duty to fall on his sword and act
- Sometimes a person has no moral authority to act, and falling on his sword is career ending without achieving anything important for the public or the person.
- Sometimes a person may have moral authority to act, but no moral duty to do so. This is when the person must be most careful in making this life changing decision.



#### Major Considerations of Whistle Blowing

In deciding whether to act or not act, a potential whistleblower should answer two questions.

- **1** Do I have moral authority to act?
- 2 Do I have a moral duty to act?

De George did not formulate these questions, but De George provides one system to help a person answer them.

Regardless of the system a person chooses to answer these questions, prospective whistle blowers must answer these questions.



